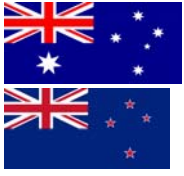


ANZUIAG



Australia & New Zealand University Internal Audit Group

2011 SYMPOSIUM November 10 -11

Host Institution



On behalf of the Victorian University sector.

ANZUIAG 2011

November 10 2011 – Symposium Day 1

Time	Session	Session Outline	Convener / Presenter
8:30 am	Registration.	Tea, coffee and muffins.	
8:50 am	Housekeeping.	OHS induction and general orientation.	Peter McGrath , Director Internal Audit, UoM.
9:00 am	Conference Opening.	Welcome and Conference Opening	Ian Marshman , Senior Vice-Principal, UoM
9:10 am	Session 1. VAGO - educational sector and public sector issues	Higher Ed and public sector issues, a VAGO perspective.	Tim Loughnan , Senior Director Education, Auditor-General's Office
9:45 am	Session 2. Tone at the top – ethics / values and codes of conduct.	Importance and impact of an ethical business culture. What can go wrong and why? Does Internal Audit have a role in promoting an ethical culture and what might this role include? Case studies.	Holly Lindsay (Principal) Integrity Matters. Holly was a member of the BHP Billiton Global Ethics Panel from 2001-2009. Holly currently consults to ASX200 companies on business ethics and governance issues; previously she held a number of senior corporate positions at BHP Billiton (1996 – 2009), where she was responsible for designing and rolling out the company's global business ethics program, developing its climate change policy, and monitoring and assessing macroeconomic and sovereign risk issues.
10:45 am	Break	Networking and Morning tea	
11:15 am	Session 3. Fraud & Forensic Accounting - Part 1.	Areas of fraud risk which should be on the Internal Auditor's radar. Forensic accounting tools for detection and investigation. Case studies.	Dean Newlan (Partner) McGrathNicol. <i>Dean is a forensic accounting specialist with more than twenty years experience. He was appointed to McGrathNicol in January 2006 after leading KPMG's forensic practice in Melbourne for eleven years. Prior to this, Dean spent several years in KPMG's Corporate Recovery Division following ten years service with the Victoria Police.</i> <i>Dean's forensic experience includes investigation into major corporate collapses, governance failure, fraud and misconduct in business generally, quantification of loss in commercial disputes, complex funds tracing and fraud and misconduct control.</i> <i>He lectures in Forensic Accounting at under-graduate and post-graduate level at the University of Melbourne and for CPA Australia. He was the Chair of the Working Party formed by Standards Australia that produced Australia's Fraud and Corruption Control Standard (AS 8001-2008) and Whistleblower Protection Standard (AS 8004-2003). Dean was a member of the Audit Committee of the Municipal Association of Victoria for seven years and was chair of the committee for two years.</i>
12:00 pm	Session 4. Fraud & Forensic Accounting - Part 2.	Areas of fraud risk which should be on the Internal Auditor's radar. Forensic accounting tools for detection and investigation. Case studies.	Dean Newlan (Partner) McGrathNicol
12:45 pm	Break	Networking and Lunch	
2:00 pm	Session 5. Research Risks	What are the key research risks? What role does Internal Audit have in supporting management to manage these risks? Case studies.	Dr David Cookson , Executive Director Melbourne Research and Dr Paul Taylor , Manager Research Ethics. Dr Cookson has broad roles within the Research Office related to research policy development, intellectual property management, engagement of external organisations in research collaboration, and matters associated broadly with research integrity. Prior to joining Melbourne worked for BHP Research for 20 years. Dr Taylor is responsible for the University research ethics and integrity programs.
2:45 pm	Session 6. Business Continuity	Where to start? Is emergency response and crisis management enough? Isn't it an IT issue? How to get everyone involved?	Phillip Armanas , Manager Audit and Risk Management and Warren Einarson , Director Assurance Services University of South Australia.
3:30 pm	Break	Networking and Afternoon tea	
4:00 pm	Session 7. Thought Leadership.	International perspective - trends seen in both universities and organisations in the United States, Europe and Japan. Using case studies from these regions Bryan will discuss common audit challenges and constraints he sees when he becomes engaged with a company and the trends he sees towards automation with the benefits of increased visibility, oversight and standardisation.	Bryan Burnhart (CISA) - Thomson Reuters. Bryan with over 8 years experience in the Governance, Risk and Compliance space, has extensive experience in developing automated audit solutions fit for purpose in the public and private sector in the United States, Europe and Japan.
5:00 pm		Personal maintenance and preparation for dinner.	
6:30 pm		Meet for dinner at Crown Conservatory	

November 11 2011 – Symposium Day 2

Time	Session	Session Outline	Convener / Presenter
9:00 am	Registration.	Tea, coffee and muffins.	
9:30 am	Session 1. Higher Education demand driven reforms.	Higher Education - the power of choice. Reform, competition and the emerging consumer-driven market in Australian higher education.	Peter Rohan (Partner) and Justin Boker (Executive Director) Advisory-Ernst and Young. Peter and Justin are co-authors of the review and head up Ernst and Young's higher education team. Peter is E&Y's national head of Education and Universities and Global Leader of Education, and has more than 20 years experience as an advisor to Government and industry. Peter's university work has included operating model transformation, financial modelling and cost reduction, shared services, and advice on strategic direction. Justin has 15 years' experience as an advisor to public and private sector organisations, with a focus on strategy and operational performance improvement. Justin has advised multiple universities in Victoria and nationally, as well as Federal and State departments of education.
10:30 am	Break combined with Remembrance Day Service.	Networking and Morning tea (combined with an interlude for those wishing to attend Remembrance Day service at the University's Cenotaph.)	
11:30 am	Session 2. TEQSA	TEQSA – What the changes mean The new TEQSA requirements - Implications on University operations.	Professor Pip Pattison , Deputy Vice-Chancellor (Academic). Professor Pattison is a quantitative psychologist and served as Head of the School of Behavioural Sciences at the UoM from 2002-2003, and from 2007-2008 was the President of the University's Academic Board. In May 2011, Professor Pattison was appointed as Deputy Vice-Chancellor (Academic). As Deputy Vice Chancellor (Academic), Pip is responsible for leading the University's learning and teaching strategy and consolidating the implementation of Melbourne Model programs. She works in collaboration with colleagues across the University to ensure that its academic programs meet the high standards in teaching and learning.
12:15 pm	Session 3.	Academic risks Out of scope or audit opportunities?	Phill Draber , Director, Risk & Assurance Service Centre, Edith Cowan University.
1:00 pm	Break	Networking Lunch incorporating campus tour	
2:00 pm	Session 4.	Left Field Audits What's on your audit plan that is out of the "norm"?	Seema Patel , Director Internal Audit QUT.
2:45 pm	Session 5.	Open Forum Members are invited to raise any matters for discussion.	Peter Dwyer , Director Internal Audit, Monash.
3:30 pm	Session 6.	AGM ANZUIAG update and conference wrap-up.	Peter McGrath , Director Internal Audit, UoM.
4:00 pm	Close.	Conference Close -Farewell drinks and nibbles	