

6.2. Overview of policy and internal and external links

Topic	UQ policies / procedures	UQ tools	External links	Legislation and other official documentation
1 Purpose and scope	<ul style="list-style-type: none"> UQ Code of Conduct Student Charter Higher Degree by Research Candidate Charter 		<ul style="list-style-type: none"> Australian Code for the Responsible Conduct of Research 	<ul style="list-style-type: none"> University of Queensland Act 1998 Public Sector Ethics Act 1994
2.1 Research governance and delegations	<ul style="list-style-type: none"> Delegations Policy 	<ul style="list-style-type: none"> Financial & contract sub-delegations HR authorisation Sub-delegation of the RCR 		<ul style="list-style-type: none"> Financial Accountability Act 2009 and Financial Accountability Regulation 2009 Financial Accountability Act 2009 Financial and Performance Management Standard 2009 Statutory Bodies Financial Arrangements Act 1982 and the Statutory Bodies Financial Arrangements Amendment Act 2003 Statutory Bodies Financial Arrangements Regulation 2019 Higher Education Support Act 2003c Auditor-General Act 2009 Right to Information Act 2009 Information Privacy Act 2009 Public Records Act 2002 Integrity Act 2009 Public Service Act 2008
2.2 Researcher conduct	<ul style="list-style-type: none"> Responsible Conduct of Research 		<ul style="list-style-type: none"> Australian Code for the Responsible Conduct of Research 	<ul style="list-style-type: none"> Public Sector Ethics Act 1994 Information Privacy Act 2009 Crime and Corruption Act 2001
2.3 Disclosure of interests and activities	<ul style="list-style-type: none"> Conflict of Interest for Members of Staff Procedure Consultancy, Secondary Employment and Internal Work Policy Authorship Procedure Administration of Research Funding Policy 	<ul style="list-style-type: none"> Conflicts of interest disclosure tools Sensitive Research Register Secondary employment register 		
2.4 Risk management	<ul style="list-style-type: none"> Enterprise Risk Management Policy Enterprise Compliance Management Framework Clinical Trials Governance Procedure Export Controls Policy and Procedure 	<ul style="list-style-type: none"> Clinical Trials Risk Assessment and Management Plan 		
2.5 Work health & safety	<ul style="list-style-type: none"> Health, Safety and Wellness Policy 			<ul style="list-style-type: none"> Gene Technology Act 2000 and Gene Technology Regulations 2001 Gene Technology (Qld) Act 2016 Biosecurity Act 2015 and Biosecurity Act 2014 National Health Security Act 2007 and National Health Security Regulations 2008 Environmental Protection Act 1994 Work Health and Safety Act 2011 and Work Health Safety Regulation 2011
2.6 Collaborative research	<ul style="list-style-type: none"> Research and Consultancy Costing and Pricing Procedure Contract Research & Grants Financial Management Procedure International Agreements Policy and Procedure 		<ul style="list-style-type: none"> NHMRC Collaborative Research Guide 	
2.7 Defence export controls and sanction regimes	<ul style="list-style-type: none"> Export Controls and Sanctions Procedure 	<ul style="list-style-type: none"> Research Ethics and Integrity website 		<ul style="list-style-type: none"> Autonomous Sanctions Act 2011 Charter of the United Nations Act 1945 Customs Act 1901 Defence Trade Controls Act 2012 National Security Legislation Amendment (Espionage and Foreign Interference) Act 2018 Weapons of Mass Destruction (Prevention and Proliferation) 1995
2.8 Management of research funds	<ul style="list-style-type: none"> Administration of Research Funding Policy Financial and Contract Sub-delegations Procedure Contract Research & Grants Financial Management Procedure 			
2.9 Intellectual property	<ul style="list-style-type: none"> Intellectual Property Policy and Procedure 			
2.10 Data, information	<ul style="list-style-type: none"> Information Management Policy and Procedures Research Data Management Procedure 	<ul style="list-style-type: none"> Research Data Manager Digital Research Notebooks 	<ul style="list-style-type: none"> University Sector Retention and Disposal Schedule NHMRC Management of Data and Information in Research Guide 	<ul style="list-style-type: none"> Public Records Act 2002 Right to Information Act 2009 and Right to Information Regulation 2009

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management and privacy	<ul style="list-style-type: none"> Privacy Management Policy 			<ul style="list-style-type: none"> Information Privacy Act 2009 and Information Privacy Regulation 2009
2.11 Ethical review	<ul style="list-style-type: none"> Human Research Ethics Procedure Animal Ethics in Teaching and Research Procedure 	<ul style="list-style-type: none"> MyResearch HRECs LNR Committee 	<ul style="list-style-type: none"> National Statement on Ethical Conduct in Human Research Australian Code for the Care and Use of Animals for Scientific Purposes Good Clinical Practice 	<ul style="list-style-type: none"> Animal Care and Protection Act 2001 and Animal Care and Protection Regulation 2012 Or if working in states other than Queensland: <ul style="list-style-type: none"> NSW Animal Research Act (1985) ACT Animal Welfare Act (1992) VIC Prevention of Cruelty to Animals Act (1986) TAS Animal Welfare Act (1993) SA Animal Welfare Act (1985) WA Animal Welfare Act (2002) NT Animal Welfare Act (2014)
2.12 Research with Aboriginal and Torres Strait Islander People and Communities	<ul style="list-style-type: none"> Authorship Procedure Research Data Management Procedure Intellectual Property Policy Human Research Ethics Procedure 	<ul style="list-style-type: none"> Aboriginal and Torres Strait Islander Research and Innovation Strategy 	<ul style="list-style-type: none"> AIATSIS Code of Ethics for Aboriginal and Torres Strait Islander Research Ethical Conduct in Research with Aboriginal and Torres Strait Islander Peoples and Communities: Guidelines for Researchers and Stakeholders Keeping Research on Track II free, prior and informed consent 	<ul style="list-style-type: none"> Biodiscovery Act 2004
2.13 Responsible publication practice	<ul style="list-style-type: none"> Open Access Procedure Authorship Procedure 	<ul style="list-style-type: none"> Responsible Publishing Guideline 	<ul style="list-style-type: none"> NHMRC Authorship Guide NHMRC Peer Review Guide 	
2.14 Supervision of HDR candidates & ECRs	<ul style="list-style-type: none"> Responsible Conduct of Research Policy Higher Degree by Research Candidates topic 		<ul style="list-style-type: none"> Australian Code for the Responsible Conduct of Research NHMRC Supervision Guide 	
2.15 Complaints and breaches	<ul style="list-style-type: none"> Managing Complaints about the Conduct of Research Procedure Research Misconduct – HDR Students Procedure Student Integrity and Misconduct Policy 	<ul style="list-style-type: none"> Grievance Resolution Process Complaints Management page 		